



09/27/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. WRN0020

First Inventor or Application Identifier Raftelis, et al
Title METHOD AND APPARATUS FOR PROCESSING A
NETWORK MANAGER COMMAND IN A COMMUNICATION
NETWORK

Express Mail Label No.

EK379032014US

J1040 U.S. PTO
09/965238

09/27/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. (Submit an original, and a duplicate for fee processing)	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification Total Pages 129 (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Copy
- Descriptive title of the Invention	b. <input type="checkbox"/> Paper Copy (identical to computer copy)
- Cross References to Related Applications	c. <input type="checkbox"/> Statement verifying identity of above copies
- Statement Regarding Fed sponsored R & D	
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawings (35 USC 113) Total Sheets 32	
5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))	
(for continuation/divisional with Box 17 completed)	
[Note Box 5 below]	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> Application Data Sheet. 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of
Statement (IDS)/PTO-1449 IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35
USC 122 (b)(2)(B)(ii)
17. ☐ Other

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior Application Information: Examiner Group / Art Unit:

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or, ☒ Correspondence Address Below

Garlick & Harrison
P.O. Box 341136 342019
Austin, Texas

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Name (Print/Type)	Timothy W. Markison	REGISTRATION NUMBER	33,534
Signature		Date	9/27/01

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 811.00

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.16 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	710 201 355	Utility filing fee	355.00
106	320 206 165	Design filing fee	
107	490 207 245	Plant filing fee	
108	710 208 355	Reissue filing fee	
114	150 214 75	Provisional filing fee	

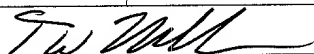
SUBTOTAL (1) (\$) 355.00

2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 44	(-20 =) 24	9.00	216.00
Indep. 8	(-3 =) 5	40.00	200.00
Multiple Dep.			

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103	18 203 9	Claims in excess of 20
102	80 202 40	Independent claims in excess of 3
104	270 204 135	Multiple dependent claim
109	80 209 40	Reissue independent claims over original patent
110	18 210 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 416.00

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Timothy W. Markison	Reg. Number	33,534
Signature		Date	9/27/01
		Deposit Account User ID	

Complete if Known

Application Number	
Filing Date	9/27/01
First Named Inventor	Raftelis, et al
Group Art Unit	
Examiner Name	
Attorney Docket Number	WRN0020

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	130 205 65	Surcharge - late filing fee or oath	
127	50 227 25	Surcharge - late provisional filing fee or cover sheet	
139	130 139 130	Non-English specification	
147	2,520 147 2,520	For filing a request for reexamination	
112	920* 112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115	110 215 55	Extension for reply within first month	
116	390 216 195	Extension for reply within second month	
117	890 217 445	Extension for reply within third month	
118	1,390 218 695	Extension for reply within fourth month	
128	1,890 228 945	Extension for reply within fifth month	
119	310 219 155	Notice of Appeal	
120	310 220 155	Filing a brief in support of an appeal	
121	270 221 135	Request for oral hearing	
138	1,510 138 1,510	Petition to institute a public use proceeding	
140	110 240 55	Petition to revive - unavoidable	
141	1,240 241 620	Petition to revive - unintentional	
142	1,240 242 620	Utility issue fee (or reissue)	
143	440 243 220	Design issue fee	
144	600 244 300	Plant issue fee	
122	130 122 130	Petitions to the Commissioner	
123	50 123 50	Petitions related to provisional applications	
126	180 126 180	Submission of Information Disclosure Stmt	
581	40 581 40	Recording each patent assignment per property (times number of properties)	40.00
146	710 246 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710 249 355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710 279 355	Request for Continued Exam (RCE)	
Other fee (specify)			

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$) 40.00**